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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
53087-5003SERIAL NO.
09/842,268

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

FILING DATE
April 25, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
P/P	A	6	2	1	6	1	1	6	04/10/01	Barkan, et al.	705	76	
	B	5	6	5	9	6	1	6	08/19/97	Sudia	380	23	
	C	5	9	0	3	8	8	2	05/11/99	Asay et al.	705	44	
	D	5	9	4	3	4	2	3	08/24/99	Muftic	380	25	
	E	5	9	7	8	4	8	4	11/02/99	Apperson et al.	380	25	
	F	5	9	6	0	0	8	5	09/28/99	De la Hueraga	380	25	
	G	6	0	0	3	0	1	4	12/14/99	Lee et al.	705	13	
	H	5	9	0	1	2	8	4	05/04/99	Hamdy-Swink	395	186	
	I	5	8	7	2	8	4	8	02/16/99	Romney et al.	380	25	
	J	5	7	8	4	4	6	3	07/21/98	Chen et al.	380	21	
BB	K	5	7	6	1	3	0	9	06/02/98	Ohashi et al.	380	25	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

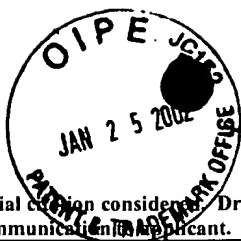
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

BB	L	Blaze, et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.
1	M	Gunter, et al, "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000, Vol. 30, pp. 1609-1640.
BB	N	Gunter, et al., "GENERALIZED CERTIFICATE REVOCATION", ACM Symposium On Principles Of Programming Languages, January 2000, pp. 1-14.

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Page 1 of 5



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<i>P/B</i>	O	5	7	5	7	9	2	0	05/26/98	Misra et al.	380	25	
	P	5	7	5	4	6	5	4	05/19/98	Hiroya et al.	380	24	
	Q	5	6	9	4	4	7	1	12/02/97	Chen et al.	380	25	
	R	5	4	1	2	7	1	7	05/02/95	Fischer	380	4	
	S	5	3	3	9	4	0	3	08/16/94	Parker	395	425	
	T	5	2	6	1	0	0	2	11/09/93	Perlman et al.	380	30	
	U	5	3	1	5	6	5	7	05/24/94	Abadi et al.	380	25	
	V	5	2	1	4	7	0	2	05/25/93	Fischer	380	30	
	W	4	8	6	8	8	7	7	09/19/89	Fischer	380	25	
	X	4	8	1	6	6	5	5	03/28/89	Musyck et al.	235	380	
<i>P/B</i>	Y	5	6	1	5	2	6	8	03/25/97	Bisbee et al.	380	25	

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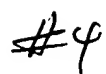
<i>P/B</i>	Z	Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp. 1-19.
	AA	Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23
<i>P/B</i>	BB	Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	1
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Complete if Known

Application Number	09/842,268
Filing Date	April 25, 2001
First Named Inventor	Gunter et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	053087-5003

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U.S. PATENT DOCUMENTS

Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
A10 ↓	6,411,605	B1	Vance et al.	06/25/02
	5,872,841		King et al.	02/16/99
	6,577,949	B1	Robinson et al.	06/10/03
	6,567,075	B1	Baker et al.	05/20/03
	6,031,904		An et al.	02/29/00
	5,689,642		Harkins et al.	11/18/97
	6,560,581		Fox	05/2003
	6,523,012		Glassman	02/2003
	6,061,448		Smith	05/2000
	6,651,166		Smith	11/2003
	6,430,688		Kohl	08/2002
	6,347,373		Hoepman	02/2002
	6,343,361		Nendell	01/2002
	6,256,733		Thakkar	07/2001
	6,285,991		Powar	09/2001
A10	2002/0162019	A1	Berry	10/2002

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Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₁
	Country Code	Number	Kind Code (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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<i>DB</i>	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
	G	5	5	7	7	1	2	0	11/19/96	Penzias			
	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
<i>DB</i>	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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<i>DB</i>	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.

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Donald Baum

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